

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/037,499
Filing Date	1/2/2002
First Named Inventor	Unger et al.
Art Unit	2131
Examiner Name	Moorthy, Aravind K.
Attorney Docket Number	SNY-R4648.04

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
HS		US-6,549,229	4-15-2003	Kirby et al.	
HS		US-6,904,520	6-7-2005	Rosset et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

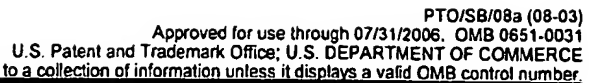
NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
HS		LIU, et al. "Motion Vector Encryption in Multimedia Streaming, 2004, IEEE, pages 64-71	

Examiner Signature	2hsuk sang	Date Considered	1/4/05
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Application Number	10/037,499
Filing Date	1/2/2002
First Named Inventor	Unger et al.
Art Unit	
Examiner Name	
Attorney Docket Number	SNY-R4546.04

Sheet	1	of	1
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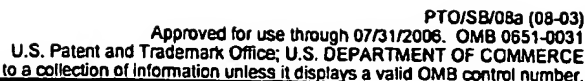
U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	Hosuke SONG	Date Considered	1/4/08
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Application Number	10/037,499
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Art Unit	2131
Examiner Name	Moorthy, Aravind K.
Attorney Docket Number	SNY-R4646.04

Sheet	1	of	2
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U.S. PATENT DOCUMENTS

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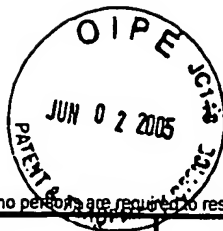
FOREIGN PATENT DOCUMENTS

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Examiner Signature	HOSUK SONG	Date Considered	1/4/06
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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Complete if Known

Application Number	10/037,499
Filing Date	1/2/2002
First Named Inventor	Unger et al.
Art Unit	2131
Examiner Name	Moorthy, Aravind K.
Attorney Docket Number	SNY-R4646.04

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
HS		ALATTAR, A.M. et al., Improved selective encryption techniques for secure transmission of MPEG video bitstreams, 10/24/1999, Digimarc Corp., Lake Oswego, OR, USA, IEEE, pgs. 256-260	
HS		KUNKELMANN T. et al., A scalable security architecture for multimedia communication standards, Darmstadt Univ. of Technology, ITO, Germany, 1997, pgs. 660-661	
HS		YIP, KUN-WAH, Partial-encryption technique for intellectual property protection of FPGA-Based products, December 15, 1999, IEEE, pgs. 183-190	
HS		International Search Report for application no. PCT/US2004/032228	

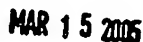
Examiner Signature	Hasuk Song	Date Considered	1/4/06
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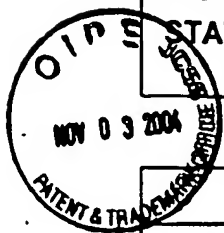
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U.S. PATENT DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 1 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

U.S. PATENT DOCUMENTS

Exam. Init.	Document Number	Issue/Pub. Date	Name	Class	Filing Date
HS	3,852,519	12/3/1974	Court		10/20/1972
HS	4,381,519	4/26/1983	Wilkinson et al.		9/14/1981
HS	4,419,693	12/6/1983	Wilkinson.		3/30/1981
HS	4,521,853	6/4/1985	Gutttag		6/30/1982
HS	4,634,808	1/6/1987	Moerder		3/15/1984
HS	4,700,387	10/13/1987	Hirata		7/5/1985
HS	4,703,351	10/27/1987	Kondo		8/22/1985
HS	4,703,352	10/27/1987	Kondo		12/17/1985
HS	4,710,811	12/1/1987	Kondo		12/17/1985
HS	4,722,003	1/26/1988	Kondo		11/19/1986
HS	4,739,510	4/19/1988	Jeffers et al.		4/2/1987
HS	4,772,947	9/20/1988	Kondo		12/17/1986
HS	4,785,361	11/15/1988	Brotby		12/16/1986
HS	4,788,589	11/29/1988	Kondo		11/25/1986
HS	4,815,078	3/21/1989	Shimura		3/31/1987
HS	4,845,560	7/4/1989	Kondo et al.		5/18/1988
HS	4,887,296	12/12/1989	Horne		10/16/1987
HS	4,890,161	12/26/1989	Kondo		1/30/1989
HS	4,924,310	5/8/1990	von Brandt		8/22/1989
HS	4,944,006	7/24/1990	Citta et al.		4/25/1989
HS	4,953,023	8/28/1990	Kondo		9/15/1989
HS	4,995,080	2/19/1991	Bestler et al.		7/16/1990
HS	5,018,197	5/21/1991	Jones et al.		7/30/1990
HS	5,023,710	6/11/1991	Kondo et al.		10/24/1990

Examiner: Hosuk Song	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 2 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

	5,122,873	6/16/1992	Golin, Stuart J.		2/19/1991
HS	5,138,659	8/11/1992	Kelkar et al.		5/2/1991
HS	5,142,537	8/25/1992	Kutner et al.		2/2/1990
HS	5,144,662	9/1/1992	Welmer		12/21/1990
HS	5,159,452	10/27/1992	Kinoshita et al.		10/22/1990
HS	5,196,931	3/23/1993	Kondo		12/23/1991
HS	5,208,816	5/4/1993	Seshardi et al.		3/11/1992
HS	5,237,424	8/17/1993	Nishino et al.		7/26/1991
HS	5,241,381	8/31/1993	Kondo		8/16/1991
HS	5,247,575	9/21/1993	Sprague et al.		4/24/1992
HS	5,258,835	11/2/1993	Kato		7/10/1991
HS	5,325,432	6/28/1994	Gardeck et al.		2/4/1993
HS	5,327,502	7/5/1994	Katata		1/16/1992
HS	5,359,694	10/25/1994	Concordel		7/27/1992
HS	5,379,072	1/3/1995	Kondo		12/8/1992
HS	5,398,078	3/14/1995	Masuda et al.		10/30/1992
HS	5,416,651	5/16/1995	Uetake et al.		10/30/1991
HS	5,416,847	5/16/1995	Boze		2/12/1993
HS	5,420,866	5/30/1995	Wasilewski		3/29/1994
HS	5,428,403	6/27/1995	Andrew et al.		9/30/1992
HS	5,434,716	7/18/1995	Sugiyama et al.		2/2/1994
HS	5,438,369	8/1/1995	Citta et al.		8/17/1992
HS	5,469,216	11/21/1995	Takahashi et al.		11/30/1994
HS	5,471,501	11/28/1995	Parr et al.		8/13/1993
HS	5,473,692	12/5/1995	Davis		9/7/1994
HS	5,481,554	1/2/1996	Kondo		8/31/1993
HS	5,481,627	1/2/1996	Kim		8/31/1994

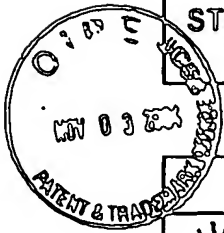
Examiner: Hosuk Song	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 3 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	



HS	5,485,577	1/16/1996	Eyer et al.		12/16/1994
HS	5,528,608	6/18/1996	Shimizume		4/7/1995
HS	5,535,276	7/9/1996	Ganesan		11/9/1994
HS	5,539,823	7/23/1996	Martin		7/24/1994
HS	5,539,828	7/23/1996	Davis		5/31/1994
HS	5,555,305	9/10/1996	Robinson et al.		6/13/1994
HS	5,561,713	10/1/1996	Suh		7/18/1994
HS	5,568,552	10/22/1996	Davis		10/22/1996
HS	5,574,787	11/12/1996	Ryan		7/25/1994
HS	5,582,470	12/10/1996	Yu		9/12/1995
HS	5,583,576	12/10/1996	Perlman et al.		9/11/1995
HS	5,598,214	1/28/1997	Kondo et al.		9/28/1994
HS	5,600,721	2/4/1997	Kitazato		7/27/1994
HS	5,606,359	2/25/1997	Youden et al.		6/30/1994
HS	5,608,448	3/4/1997	Smoral et al.		4/10/1995
HS	5,615,265	3/25/1997	Coutrot		12/20/1994
HS	5,617,333	4/1/1997	Oyamada et al.		11/23/1994
HS	5,625,715	4/29/1997	Trew et al.		10/21/1993
HS	5,629,981	5/13/1997	Nerlikar		7/29/1994
HS	5,652,795	7/29/1997	Eillon et al.		11/13/1995
HS	5,663,764	9/2/1997	Kondo et al.		7/19/1995
HS	5,666,293	9/9/1997	Metz et al.		7/3/1995
HS	5,703,889	12/30/1997	Shimoda et al.		12/14/1994
HS	5,717,814	2/10/1998	Abecassis		9/16/1994
HS	5,732,346	3/24/1998	Lazaridis et al.		2/16/1996
HS	5,742,680	4/21/1998	Wilson		11/13/1995
HS	5,742,681	4/21/1998	Giachettie et al.		4/4/1995

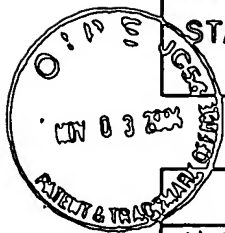
Examiner: HOSUK SONG	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 4 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

	5,751,280	5/12/1998	Abbott et al.		12/11/1995
HS	5,751,743	5/12/1998	Takizawa		10/1/1992
HS	5,751,813	5/12/1998	Dorenbos		4/29/1996
HS	5,754,650	5/19/1998	Katznelson		5/3/1995
HS	5,757,417	5/26/1998	Aras et al.		9/23/1997
HS	5,757,909	5/26/1998	Park		11/22/1995
HS	5,768,539	6/16/1998	Metz et al.		12/17/1996
HS	5,796,786	8/18/1998	Lee		10/18/1996
HS	5,796,829	8/18/1998	Newby et al.		6/24/1996
HS	5,796,840	8/18/1998	Davis		10/4/1995
HS	5,802,176	9/1/1998	Audebert		3/22/1996
HS	5,805,700	9/8/1998	Nardone et al.		10/15/1996
HS	5,805,712	9/8/1998	Davis		12/29/1995
HS	5,805,762	9/8/1998	Boyce et al.		4/18/1994
HS	5,809,147	9/15/1998	De Lange et al.		8/14/1997
HS	5,815,146	9/29/1998	Youden et al.		9/16/1996
HS	5,818,934	10/6/1998	Cuccia		12/18/1996
HS	5,825,879	10/20/1998	Davis		9/30/1996
HS	5,850,218	12/15/1998	LaJoie et al.		2/19/1997
HS	5,852,290	12/22/1998	Chaney		1/30/1997
HS	5,852,470	12/22/1998	Kondo et al.		5/28/1996
HS	5,870,474	2/9/1999	Wasiliewski et al.		12/29/1995
HS	5,894,320	4/13/1999	Vancelette		5/29/1996
HS	5,894,516	4/13/1999	Brandenburg		7/10/1996
HS	5,915,018	6/22/1999	Aucsmith		11/5/1996
HS	5,922,048	7/13/1999	Emura		12/11/1998
HS	5,933,500	8/3/1999	Blatter et al.		11/27/1996

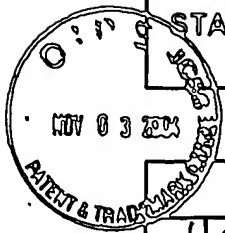
Examiner: HOSOK SORIG	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 5 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

	5,949,877	9/7/1999	Traw et al.		1/30/1997
HS	5,949,881	9/7/1999	Davis		12/4/1995
HS	5,973,679	10/26/1999	Abbott et al.		3/31/1997
HS	5,999,622	12/7/1999	Yasukawa et al.		11/22/1995
HS	5,999,698	12/7/1999	Nakai et al.		9/30/1977
HS	6,005,561	12/21/1999	Hawkins, et al.		12/14/1994
HS	6,011,849	1/4/2000	Orrin		8/28/1997
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HS	6,021,201	2/1/2000	Bakhle et al.		1/7/1997
HS	6,028,932	2/22/2000	Park		4/1/1998
HS	6,049,613	4/11/2000	Jakobsson		1/13/1998
HS	6,055,314	4/25/2000	Spies et al.		3/22/1996
HS	6,057,872	5/2/2000	Candelore		7/9/1997
HS	6,058,186	5/2/2000	Enari		9/29/1998
HS	6,061,451	5/9/2000	Muratani et al.		9/2/1997
HS	6,064,748	5/16/2000	Hogan		1/16/1998
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HS	6,069,647	5/30/2000	Sullivan et al.		1/29/1998
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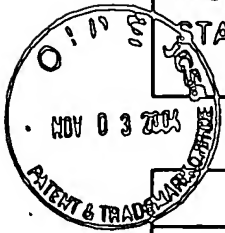
Examiner: Ho Suk Song	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 6 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

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Examiner: <i>HSulk SONG</i>	Date: <i>1/4/06</i>
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 7 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
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Examiner: Hosuk Son	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 8 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
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Examiner: Hsuk SONG	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 9 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	



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Examiner: Hosuk SONG	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 10 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
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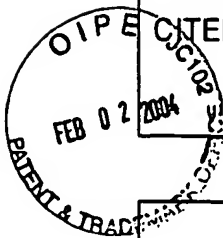
Examiner: Hosuk SONG	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 11 of 11
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Examiner: HOSUK SONG	Date: 1/4/06
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LIST OF REFERENCES CITED BY APPLICANT(S)	Application No.: 10/037,499	Sheet 1 of 1
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 1/2/2002	Conf. No.: 6277
	Applicant: Candelore, et al.	

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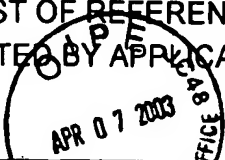
Technology Center 2100

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Examiner: Hosuk Song	Date: 1/4/06
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LIST OF REFERENCES CITED BY APPLICANT(S) 	Application No.: 10/037,499	Sheet 1 of 2
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 1/2/2002	Conf. No.: 6277
	Applicant: Candelore, et al.	

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Examiner: <i>Hasuk Song</i>	Date: <i>1/4/06</i>
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LIST OF REFERENCES CITED BY APPLICANT(S)	Application No.: 10/037,499	Sheet 2 of 2
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 1/2/2002	Conf. No.: 6277
	Applicant: Candelore, et al.	



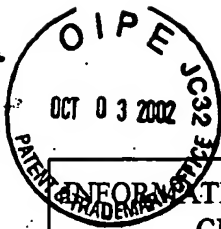
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Examiner: <i>Hasuk Song</i>	Date: <i>1/6/06</i>
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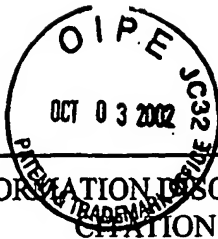


INFORMATION DISCLOSURE CITATION				Docket No.: SNY-R4646.04 Sheet 1 of 2 Serial No. : 10/037,499 Filing Date: 1/2/2002 Applicant: Unger, et al.	
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EXAMINER: Hosuk Song			DATE CONSIDERED: 1/4/06		

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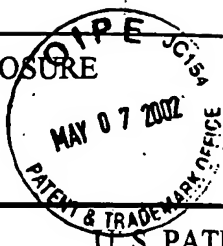


INFORMATION DISCLOSURE CITATION			Docket No.: SNY-R4646.04 Sheet 2 of 2 Serial No. : 10/037,499 Filing Date: 1/2/2002 Applicant: Unger, et al.			Group: 2134
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Technology Center 2100

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OCT 07 2002

INFORMATION DISCLOSURE CITATION	Docket No.: SNY-R4646.04 Sheet 1 of 1 Serial No. : 10/037,499 Filing Date: 1/2/2002 Applicant: Unger, et al.
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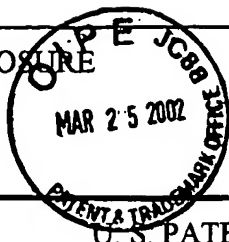
Exam. Init.	Document Number	Date	Country	Class	Translation

OTHER DOCUMENTS/CITATIONS

HS	"Selective Encryption and Watermarking of MPEG Video (Extended Abstract)" by Wu and Wu, Feb 17, 1997, submitted to International Conference on Image Science, Systems, and Technology, CISST'97
HS	"Run-Time Performance Evaluation for a Secure MPEG System Supporting Both Selective Watermarking and Encryption" by Wu and Wu, March 1, 1997, submitted to JSAC special issue on Copyright and Privacy Protection
HS	"DVD Demystified - The Guidebook for DVD-Video and DVD-ROM" by Jim Taylor, Pub. McGraw-Hill, 1998, ISBN: 0-07-064841-7, pages 134-147
HS	"Multimedia and Security Workshop at ACM Multimedia" '98. Bristol, U.K., September 1998
HS	"A Report on Security Issues in Multimedia" by Gulwani, April 30, 2000, Dept. of Computer Science & Engineering, Indian Institute of Technology Kanpur

EXAMINER: Hosuk Song	DATE CONSIDERED: 1/4/06
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INFORMATION DISCLOSURE
CITATION



Docket No.: SNY-R4646.04 Sheet 1 of 1
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Applicant: Unger et al.

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Exam. Init.	Document Number	Date	Name	Class	Filing Date
HS	5,539,823	7/23/96	Martin		7/24/94
HS	5,600,721	2/4/97	Kitazato		7/27/94
HS	6,057,872	5/2/2000	Candelore		7/9/97

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HS	"Pre-Encryption Profiles - Concept Overview and Proposal", Rev. 1.2 as submitted to the Open CAS consortium on Dec. 28, 2000.

EXAMINER: Hosuk SONG

DATE CONSIDERED: 1/4/06